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Communicating a shared vision

1997 Annual Report

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ROYAL COLLEGE OF DENTAL SURGEONS OF ONTARIO

*Protecting
the Public
and Guiding
the Dental
Profession*

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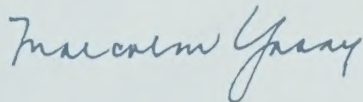
President's Introduction

COMMUNICATING A SHARED VISION IS THE THEME OF THIS 1997 ANNUAL REPORT. The RCDSO's Strategic Plan encompasses a carefully chosen direction coupled with a leadership which is ever ready to co-operate with members in drafting policies we attempt to establish.

Communication must be a two-way street so that members have an opportunity to relay their ideas and concerns. In this way they become more understanding of our policies and thus more readily acceptable of them. I am delighted to state that, in such efforts, we have achieved substantial progress in alleviating past disenchantment on the part of our members.

This Annual Report outlines the College's programs and services, and records our accomplishments for this past year. I encourage you to take the time to review its contents.

I wish to thank all members of Council as well as those individuals who have served on our various committees during the past year. We anticipate that the next year of our mandate will be as busy and productive as 1997.



Malcolm Yasny, DDS, MSc
President



Malcolm Yasny, DDS, MSc
President

Management Team



Registrar

Dr. Minna H. Stein,
DDS, MEd



**Director, Investigations
and Hearings**

Mr. Irwin Fefergrad,
BA, BCL, LLB



Director, Professional Practice

Dr. Donald McFarlane,
DDS, DDPH



**Director, Finance and
Administration**

Ms. Marion Keddie, CGA



Manager, Public Complaints

Dr. Patricia Abbey, DDS



Manager, Investigations

Dr. Fred Eckhaus, DDS



**Manager, Professional
Liability Program**

Ms. Cecelia Turner

General Counsel

Alan L. Bromstein,
LLB

Auditors

Deloitte & Touche

Bankers

The Royal Bank
of Canada

Council Members

The RCDSO Council is composed of twelve elected dentists, two academic appointees and nine public members

Elected Members

Dr. Douglas J. Smith
District 1 - Ottawa

Dr. James I. H. Fawcett
District 2 - Lindsay

Dr. Richard L. J. Filion
District 3 - Sudbury

Dr. R. Malcolm Balfour
District 4 - Oakville

Dr. Eric Luks
District 5 - Port Carling

Dr. Robert Brandon (Vice-President)
District 6 - London

Dr. Martin W. Shelley
District 7 - Kitchener

Dr. Ronald Yarascavitch
District 8 - St. Catharines

Dr. Malcolm Yasny (President)
District 9 - Metro Toronto North

Dr. David J. Mitchell
District 10 - Metro Toronto West

Dr. Louis London
District 11 - Metro Toronto Central

Dr. Thomas W. McKean
District 12 - Metro Toronto East

Academic Members

Dr. Dorothy McComb
University of Toronto

Dr. David W. Banting
University of Western Ontario

Public Members

Ms. Gayle Lynne Arnill
Duntroon

Mr. Victor H. Braney
Toronto

Mr. C. William Hourigan
Toronto

Mr. Douglas McVeigh
Ancaster

Mr. Robert Metras
Mississauga

Mr. Lloyd J. Pollack
Toronto

Ms. Carol Smith
Scarborough

Mr. Todd W. Taylor
Ottawa

Mr. Jerome S. Zwicker
Thornhill



SEATED (FROM LEFT TO RIGHT): Mr. Jerome Zwicker,

Dr. Robert Brandon (Vice President), Dr. Malcolm Yasny (President),
Dr. Minna Stein (Registrar), Dr. Martin Shelley, Ms. Carol Smith.

SECOND ROW: Dr. David Mitchell, Dr. Louis London, Dr. Dorothy McComb,
Dr. James Fawcett, Dr. Lynne Arnill, Mr. Victor Braney, Dr. Mac Balfour,
Dr. Richard Filion.

THIRD ROW: Mr. David Bonham (Chair), Mr. Robert Metras,
Mr. Douglas McVeigh, Dr. David Banting, Dr. Eric Luks,
Dr. Douglas Smith, Dr. Ronald Yarascavitch.

NOT SHOWN: Mr. William Hourigan, Dr. Thomas McKean,
Mr. Lloyd Pollack, Mr. Todd Taylor.

College Committees

President

Dr. Malcolm Yasny

Vice-President

Dr. Robert Brandon

Executive Committee

Dr. Malcolm Yasny (Chair)

Dr. Robert Brandon

Dr. Martin Shelley

Ms. Carol Smith

Mr. Neil Young (Until 03/20/97)

Mr. Jerome Zwicker (As of 06/19/97)

Complaints Committee

Dr. Ronald Yarascavitch (Chair)

Dr. Richard Filion

Mr. Lloyd Pollack

Mr. Jerome Zwicker (Until 08/15/97)

Mr. Victor Braney (As of 08/15/97)

Dr. J. A. Anthony (Non-Council)

Dr. M. G. Paiement (Non-Council)

Discipline Committee

Dr. James Fawcett (Chair)

Dr. Louis London

Dr. Thomas McKean

Dr. David Mitchell

Ms. Carol Smith

Mr. Todd Taylor

Mr. Cecil Mackesey (Until 03/20/97)

Mr. Paul Urbanowicz (Until 03/20/97)

Mr. Neil Young (Until 03/20/97)

Ms. Lynne Arnill (As of 03/15/97)

Mr. C. Wm. Hourigan (As of 05/15/97)

Mr. Robert Metras (As of 05/15/97)

Dr. Diane Carruthers (Non-Council)

Dr. Vic Krueger (Non-Council)

Dr. Wim Oudshoorn (Non-Council)

Dr. Lynn Tomkins (Non-Council)

Dr. Redvers Warren (Non-Council)

Fitness to Practise Committee

Dr. Eric Luks (Chair)

Ms. Jodie Karpf (Until 03/20/97)

Mr. C. Wm. Hourigan (As of 03/15/97)

Dr. Roch St. Aubin (Non-Council)

Patient Relations Committee

Ms. Jodie Karpf - Chair (Until 03/20/97)

Mr. Victor Braney - Chair (As of 05/15/97)

Mr. Cecil Mackesey (Until 03/20/97)

Ms. Lynne Arnill (As of 05/15/97)

Dr. Martin Shelley

Dr. B. Janik (Non-Council)

Dr. K. Zettle (Non-Council)

Quality Assurance Committee

Dr. R. Malcolm Balfour (Chair)

Dr. Douglas Smith

Mr. Neil Young (Until 03/20/97)

Mr. Robert Metras (As of 05/15/97)

Dr. Peter Fendrich (Non-Council)

Dr. Richard Speers (Non-Council)

Registration Committee

Dr. Dorothy McComb (Chair)

Ms. Carol Smith

Dr. Douglas Smith

Audit Committee

Mr. Jerome Zwicker (Chair)

Dr. Ronald Yarascavitch

(Until 03/20/97)

Dr. David Banting (As of 03/20/97)

Dr. Thomas McKean

Dr. Malcolm Yasny

Communications Committee

Dr. Malcolm Yasny (Chair)

Dr. R. Malcolm Balfour

Dr. James Fawcett

Mr. Douglas McVeigh

Dr. Ronald Yarascavitch

Ms. Jodie Karpf (Until 03/20/97)

Mr. Victor Braney (As of 05/15/97)

Elections Committee

Mr. Jerome Zwicker (Chair)

Mr. Lloyd Pollack

Mr. Todd Taylor

Finance and Property Committee

Dr. Robert Brandon (Chair)

Dr. Louis London

Dr. Dorothy McComb

Mr. Lloyd Pollack

Dr. Malcolm Yasny

Legal and Legislation Committee

Dr. David Banting (Chair)

Dr. Eric Luks

Dr. David Mitchell

Mr. Todd Taylor

Dr. Malcolm Yasny

Professional Liability Program Committee

Mr. Douglas McVeigh (Chair)

Dr. Allan Katchky (Non-Council)

Dr. Karen E. Kaukinen (Non-Council)

Dr. Peter Markle (Non-Council)

Dr. Brian Waters (Non-Council)

*The President is an ex officio member of the following Committees: Audit, Finance and Property, and Legal Legislation

Seven Statutory Committees and five Standing Committees provide assistance to Council in carrying out the College's mandate

Report on College Activity

The College administers a number of programs designed to serve and protect the public interest with respect to the professional conduct of registered dentists in the province of Ontario

THE ROYAL COLLEGE OF DENTAL SURGEONS OF ONTARIO (RCDSO) WAS FOUNDED IN 1868 AND IS THE REGULATORY BODY FOR THE DENTAL PROFESSION IN ONTARIO. In governing the practice of dentistry, the College administers a number of programs designed to serve and protect the public interest with respect to the professional conduct of registered dentists in the province of Ontario. The College also maintains standards of qualification for its membership, sets standards of practice to assure the quality of dentistry and promotes ongoing proficiency through education.

The College is governed by a Council made up of 12 dentists elected by their peers from each of the 12 electoral districts in the province, two dentists appointed by the Ontario dental schools and a minimum of nine to a maximum of eleven public members appointed by the provincial government for terms set by the government. The RCDSO Council is empowered to make decisions that will have a direct impact on every dental practitioner in the province.

To assist Council in carrying out its legislated obligations under the *Regulated Health Professions Act, 1991* and the *Dentistry Act, 1991*, the College has seven Statutory Committees. They are the Executive, Registration, Complaints, Discipline, Fitness to Practise and Quality Assurance Committees. Five Standing Committees, though not mandated by the legislation, are necessary for the efficient and orderly administration of the College and some of its programs and services. They are the Audit, Finance and Property, Legal and Legislation, Communications and Professional Liability Committees.

EXECUTIVE COMMITTEE

The Executive Committee provides leadership to Council, facilitates its efficient and effective functioning, and makes decisions between Council meetings. The Committee also considers matters referred to it by the Complaints Committee and the Registrar regarding members who might be incapacitated, and receives reports of investigations unrelated to the complaints process for consideration and action.

ENTRY TO THE PROFESSION

The role of the RCDSO's Registration Committee is to be responsible for the certification of anyone wishing to practise dentistry in Ontario. Those applications that meet all of the required registration requirements can be handled administratively. Those that do not, or applications for reinstatement from former members who have not been registered with the College for over three years, must be referred to the Registration Committee.

Applications for Registration / Reinstatement

Twenty-six requests for registration and / or reinstatement were considered by the Registration Committee in 1997. After reviewing reports from the jurisdictions (if any) where the applicants were currently licensed or registered and other information related to each applicant, these applications were handled as follows:

- the Registrar was directed to issue four new General Certificates of Registration (three with conditions) and one Specialty Certificate;
- the Registrar was directed to reinstate five General Certificates of Registration (one with conditions) and two General / Specialty Certificates of Registration without condition or restriction, two General Certificates upon successful

completion of the College's Ethics and Jurisprudence evaluation and eight General / Specialty Certificates of Registration with the Ethics and Jurisprudence evaluation requirement;

- the Registrar was directed to refuse registration to three applicants and to remove the conditions that had previously been placed on a Certificate of Registration.

Specialty Examinations

Another important role of the Registration Committee is to provide comment and direction to Council about specialty examination requirements.

At present, successful completion of the examinations conducted by the Royal College of Dentists of Canada (RCDC) is required for certification as a dental specialist in Ontario. Several provincial dental regulatory bodies, including the RCDSO, met with the RCDC in August 1997 to discuss the concerns of the regulatory bodies about specialty examinations / certification and to consider solutions to these issues. As a result of this meeting, a joint Task Force was formed made up of representatives of the RCDC and the following dental regulatory bodies: Order of Dentists of Quebec, College of Dental Surgeons of British Columbia, Alberta Dental Association and the RCDSO.

The Task Force met on September 18, 1997 and this meeting was seen by the participants as an extremely valuable first step towards the development of valid, fair and reliable specialty qualifying examinations. Future meetings were arranged to address the actual examination principles and to retain the services of an expert in the field of educational measurement to assist in assuring that the examination process meets the requirements of the regulatory bodies.

It is expected that this matter will be satisfactorily resolved before the end of 1998. In the meantime, RCDSO Council approved at its November 1997 meeting the continued use of the RCDC Fellowship Examination stream as the approved examination for applications for Specialty Certificates in 1998.

Specialty Certificates Granted

During 1997, 34 Specialty Certificates were granted. The names of these specialists and their area of specialty are:

Endodontics

Dr. Kevin J. Calzonetti
Dr. Michael J. Hepworth
Dr. Tom J. Iwanowski
Dr. Rita Kilislian
Dr. Luciano A. Magli
Dr. Mark H. Prager
Dr. Maneesh Sharma

Oral and Maxillofacial Surgery

Dr. Dennis A. Bosco
Dr. Antoine Chehade
Dr. Bruce R. Pynn
Dr. Chris C. H. Chen
Dr. Iain A. Nish
Dr. Edward I. Reinish
Dr. Thomas Voutsas

Orthodontics

Dr. Rano Burton
Dr. Kathryn S. Coups Smith
Dr. Corinne Haiat
Dr. Pius J. Y. Kim

Dr. Young-Nam Kim
Dr. Perry Y. M. Lui
Dr. Virginia G. Luks
Dr. David N. Morrow
Dr. Eung-Kwon Pae
Dr. John E. Selnes
Dr. Mark J. Zeidenberg

Paediatric Dentistry

Dr. Roger A. Achong
Dr. Kiriaki Georgiafentis
Dr. Katherine W. A. Ing
Dr. Michele L. Layug
Dr. Eileen Lo

Periodontics

Dr. Veronica Ng
Dr. Shawn J. Young

Prosthodontics

Dr. Melladee F. Marvin

Oral Radiology

Dr. Anna Csillag

The Registration Committee is responsible for the certification of anyone wishing to practise dentistry in Ontario

The Complaints Committee is responsible for thoroughly and objectively investigating complaints regarding dentists

COMPLAINTS, DISCIPLINE AND FITNESS TO PRACTISE

Complaints, Discipline and Fitness to Practise are three of the Statutory Committees responsible for ensuring that dental care is provided in a professional and ethical manner, and for responding whenever matters of possible misconduct or incapacity are brought to their attention.

COMPLAINTS

The Complaints Committee is composed of two dentists who are members of Council, two dentists who are not members of Council, and two public members appointed by the provincial government. From these numbers, the Chair of the Committee appoints two panels to consider particular complaints. Each panel is composed of one elected dentist member of Council, one dentist who is not a member of Council and one public member. Staff of the College are not members of the Complaints Committee or its panels.

The Complaints Process

The Complaints Committee is responsible for thoroughly and objectively investigating complaints regarding dentists. This is a statutory obligation under the *Regulated Health Professions Act, 1991* (RHPA).

All complaints received by the College are investigated on behalf of the Complaints Committee by a staff member of the College. The panels of the Complaints Committee then review the results of the investigations in order to make a decision. The investigation may include submissions from the member, other health practitioners who have treated or consulted with the patient,

or any experts selected by the College. In addition, the Committee reviews any documents and dental records to assist in arriving at a decision. The member who is the subject of the complaint has a duty to co-operate with the College in its investigation including providing original records, x-rays, etc. As well, the complainant and/or the member may be invited to meet with the panel considering the matter at the College.

Complaints Committee Activity

Under the RHPA, the Complaints Committee has a number of options available to deal appropriately with various matters before it. These include:

- referring specified allegations of the dentist's professional misconduct or incompetence to the Discipline Committee;
- referring the dentist to the Executive Committee for incapacity proceedings;
- requiring the dentist to appear before the panel to be cautioned;
- taking such other action that the panel considers appropriate;
- referring the dentist to the Quality Assurance Committee if the complaint was about behaviour or remarks of a sexual nature; or
- taking no further action with respect to the complaint.

The Complaints Committee cannot award costs or demand refunds of any kind. Only the courts can do this.

During 1997, 337 letters of complaint or inquiry were received by the College, of which 231 became formal complaints. Twenty nine formal complaints were withdrawn by the complainant. Panels of the Complaints Committee met for 26 days in 1997.

Health Professions Board

In accordance with the *RHPA*, the Health Professions Board reviews decisions of the panel of the Complaints Committee. The Board is administered by the provincial government and is completely independent of the College. The College however is required to turn over its complete file for review upon request of the Board. The Board cannot consider or make financial awards where damages are sought, nor can it recommend or prescribe treatment.

When the Board reviews a decision of the Complaints Committee, it considers both the adequacy of the investigation conducted by the Committee as well as the reasonableness of the decision. After conducting a review, the Board may:

- confirm all or part of the decision made by the Complaints Committee; or
- make any recommendations to the Complaints Committee that the Board thinks appropriate with respect to any aspect of the complaint, the investigation or the decision; or
- require the Complaints Committee to take any action the Committee is empowered to take, within the terms of the Act.

During 1997, the Health Professions Board was asked to review thirty-two decisions of the panel.

DISCIPLINE

The Discipline Committee is composed of four dentist members of Council, five public members of Council and five dentists who are not members of Council. From these 14 Committee members, the Chair assigns a panel of at least three and

no more than five persons, two of whom must be public representatives. At least one member of the panel must be a dentist who is a member of Council.

The Discipline Committee is responsible for determining allegations of professional misconduct or incompetence referred to it by the Complaints Committee, Executive Committee or the Health Professions Board. The Committee hears evidence regarding each case and decides whether to dismiss the allegation or discipline the member.

The Discipline Process

A discipline hearing is carried out in a formal judicial setting at the College hearing rooms with the College and the dentist each represented by legal counsel if they chose. The College has an obligation to give full and timely disclosure of all matters that are relevant, including materials helpful to the member in question. There is no reciprocal duty. Evidence is presented under oath and witnesses are subject to examination and cross-examination. The average hearing lasts one to three days and is open to the public unless the panel orders otherwise.

Where a panel of the Discipline Committee makes a finding of professional misconduct it has the authority to order the appropriate penalty, the most severe being revocation of the right of the member to practise dentistry in the province of Ontario.

The Discipline Committee is responsible for determining allegations of professional misconduct or incompetence

When a member becomes incapacitated it is in the interest of the public, profession and practitioner that the member no longer be allowed to practise

Discipline Committee Activity

Approximately eight per cent of all matters before the Complaints and Executive Committees are referred to the Discipline Committee once an extensive investigation has been completed. These represent the most serious allegations of professional misconduct or incompetence.

Thirteen discipline hearings were conducted during 1997 involving 21 hearing days. In 12 of these hearings, there was a finding of professional misconduct against the member. One hearing is ongoing and no decision has yet been made. It is important to note that through the College's disclosure and pre-hearing processes, many of the issues become more clearly defined and thereby abbreviate the hearings.

A full description of the findings of the Discipline Committee panels and their reasons are published in the College newsletter *Dispatch* as soon as possible after a hearing has been concluded and the appeal period has elapsed. Members of the profession and the public are urged to read these reports as they are published.

FITNESS TO PRACTISE

When a member of the profession becomes incapacitated because of a physical or psychological condition, or a disorder such as drug or alcohol abuse, it is in the interest of the public, the profession and the practitioner that the member no longer be allowed to practise, or that his or her practice be restricted.

The Executive Committee of the College may appoint a "Board of Inquiry" to investigate the matter. The Board is made up of one public member of Council and two dentists who are not members of Council. To appoint such a Board,

the Executive Committee must first receive a detailed report from the Registrar of the College or from the Complaints Committee outlining the concerns about the member.

After making appropriate inquiries, the Board may order the member in question to undergo physical and psychiatric evaluations by a Board-appointed health professional. The Board may also direct the Registrar to suspend the member's certificate of registration until he or she submits to the examination. Once the Board's review is complete, a report is submitted to the Executive Committee for appropriate action. The matter may be referred to the Fitness to Practise Committee to determine whether or not the member is incapacitated. One Board of Inquiry was conducted during 1997.

The Fitness to Practise Committee is comprised of one public member of Council and two dentists (one who is a member of Council and one who is not a Council member). This Committee may conduct a hearing to determine if a dentist is incapacitated. These hearings are closed to the public. If the Committee finds that the member is incapacitated, it may take the following action:

- Direct the Registrar to revoke the member's certificate of registration.
- Direct the Registrar to suspend the member's certificate of registration.
- Direct the Registrar to impose specific terms, conditions and limitations on the member's certificate of registration for a specified or indefinite time period.

This year, no Fitness to Practice hearings were conducted.

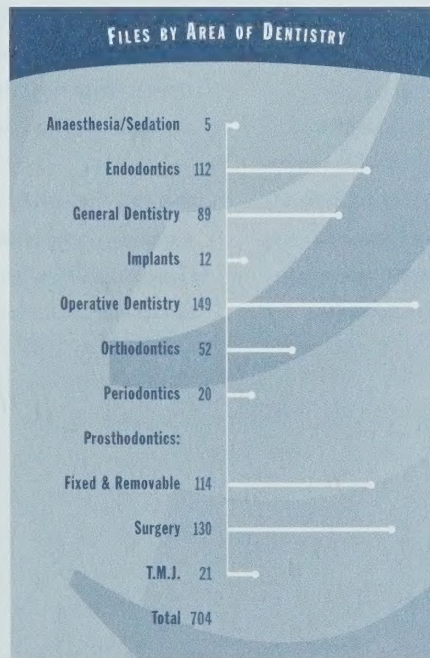
PROFESSIONAL LIABILITY PROGRAM

The College, through the Professional Liability Program, requires, negotiates and administers the malpractice coverage for the profession. A portion of the annual fees paid to the College each year by Ontario dentists is allocated for professional liability or "malpractice" claims. The Professional Liability Program is not the malpractice insurer.

The mandatory nature of this Program ensures that mechanisms are in place to protect the interests of the public in the event of injury resulting from a breach of the standard of care. The philosophy of the Program is to resolve valid claims on a reasonable basis given the particular fact situation, and to defend groundless claims.

This Program is administered by the Professional Liability Program Committee, chaired by a public member of Council. The four other Committee members are members of the profession who are appointed by Council.

The coverage limit of the College's mandatory Professional Liability Program is \$2,000,000 per occurrence. Excess insurance coverage can be purchased from our broker J & H Marsh & McLennan for up to an additional \$8,000,000 per occurrence.



CLAIMS STATISTICS

In the policy year 1997, 704 files were opened by the Professional Liability Program area of the College. This represents a marginal decrease from 1996. The 704 files opened in 1997 have been categorized by Primary Cause and by Areas of Dentistry.

As of the end of the calendar year 1997, 72 per cent of files were closed without any claim payment. Of those files where payments were made, 22 per cent were settled for under \$10,000.

The College has seen the number of claims reported in any given year more than double in the last 10 years, from 338 in 1987 to 704 in 1997. Over that same ten year period, the membership has increased 20 per cent, from approximately 5,500 members to 6,600 in 1997.

RISK MANAGEMENT

Risk management should be an integral part of every day dental practice. Technical skills are only the starting point of a successful practice. Other factors can also have a significant effect on patient satisfaction and practice growth.

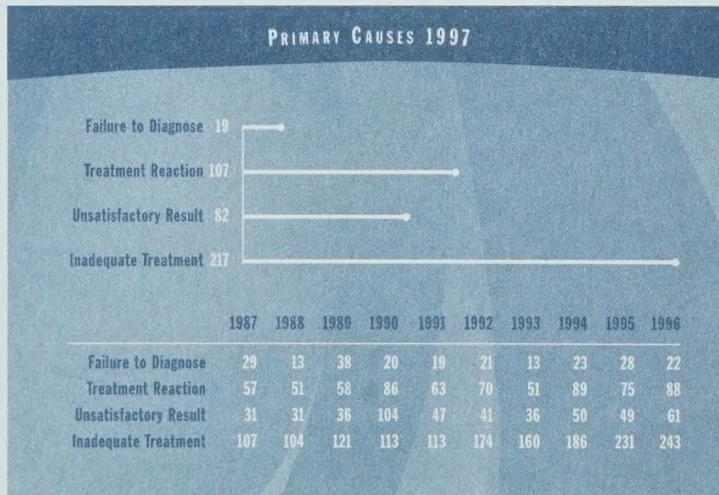
The Professional Liability Program continues to be involved in several initiatives designed to minimize the number of malpractice claims. These measures

The Professional Liability Program continues to be involved in several initiatives designed to minimize the number of malpractice claims

1997 marked the transition from the developmental phase of the Quality Assurance Program to operational considerations

include *Malpractice Claims Prevention Bulletin*, the publication *Taking the Bite Out of Dental Malpractice*, periodic articles in *Dispatch*, and presentations to local dental societies and other interested groups.

In 1997, the program was pleased to make educational presentations to dentists in the London area on January 11th, to the Owen Sound Dental Society on April 17th and the Kenora-Rainy River Dental Society on September 26th. These presentations have emphasized that factors such as keeping thorough records, providing informed consent, practising within the member's area of expertise, and maintaining a professional demeanor are all key to preventing malpractice claims.



QUALITY ASSURANCE PROGRAM

The year 1997 marked the transition from the development phase of the RCDSO's Quality Assurance Program to operational considerations, especially related to the Dental Practice Review component of the program.

DENTAL PRACTICE REVIEW

Initial consultation with local dental societies, individual dentists and members of Council in late 1996 led to full consultation with the profession regarding the Dental Practice Review checklist. A revised "Reviewer's Checklist", along with explanatory sidebars and a letter from Dr. Malcolm Yasny, RCDSO President and a member feedback form was sent to all members of the College in mid-April. Members were given almost six weeks to forward their comments to the College.

More than 500 members took the time to share their views and, in addition to answering the four specific questions on the feedback form, a number of helpful suggestions were also received. It was also clear from the responses that some clarification was required regarding certain areas to be reviewed. This was done in the August 1997 issue of *Dispatch* and through face-to-face meetings with local dental societies and speciality groups. Representatives of each of the nine dental specialty societies were also invited to attend a special meeting of the Quality Assurance Committee to discuss the review of specialty practices.

The responses to the questionnaire were tabulated and they appear in accompanying table. An overwhelming percentage of the respondents felt that the "dental practice review checklist" was clearly stated and understandable and that the explanatory notes were helpful in understanding the expectations of the College.

Members were also very appreciative of the fact that an opportunity would be afforded to them to review the reviewer's report and discuss the results with the reviewer if necessary before the report is presented to the Quality Assurance Committee.

Selection of Dental Practice Reviewers

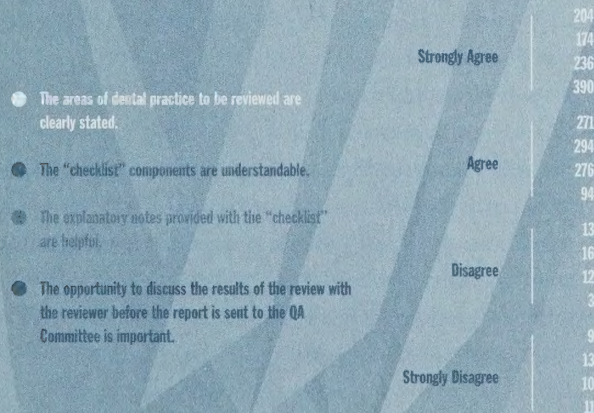
Forty-six applications for the position of Dental Practice Reviewer were considered by the Quality Assurance Committee at its August 22, 1997 meeting. Since the review

of specialty practices has been deferred until at least 1999, the seven applications that had been received from dental specialists were set aside for the time being.

From the thirty-nine remaining applications, sixteen members were interviewed. All were practising dentists with at least ten years of clinical experience. As part of the selection process, each applicant was sent a dental practice review checklist along with supporting guidelines and other publications and asked to carry out a review of their own practices. The completed form was submitted to the College prior to the interview date.

Each Reviewer applicant was asked to carry out a review of their own practice

DENTAL PRACTICE REVIEW CHECKLIST ANALYSIS OF MEMBER RESPONSE



The decision was made that the first guideline topic to be addressed would be "Management of Adult Periodontitis"

The interviews were completed on October 17, 1997 and the following applicants were selected for training.

Dr. Karen Aiken	Richmond Hill
Dr. Michael Bouris	Ottawa
Dr. Mark Cohen	Don Mills
Dr. Ross Erwin	Bowmanville
Dr. Peter Hong	Toronto
Dr. Ann Lawlor	Unionville
Dr. Brenda MacDonald	Collingwood
Dr. Bruce Minielly	Tillsonberg
Dr. Ruth Spieker	Forest
Dr. Malcolm Wood	St. Thomas

Training involved one full day at the College headquarters and two to three additional days in which formal reviews were conducted of the reviewer's offices and of a number of "volunteer" practices.

Although all aspects of the dental practice review program cannot officially be implemented until the Quality Assurance Program Regulation has been approved by government, it is expected that the review of up to 100 volunteer practices will commence in early 1998 and be completed by year end.

Development of Clinical Practice Guidelines

The Quality Assurance Committee reviewed the guideline development process that was approved by Council in November 1996 for all new RCDSO Guidelines. This process included the following seven steps:

- Frame the question
- Assemble and review the evidence
- Develop a preliminary evidence-based report
- Review and revise the evidence-based report
- Adopt and publish the evidence-based guideline
- Disseminate the guideline through education and other means
- Schedule review according to the above steps

A protocol on how to prioritize guideline topics was developed by the Quality Assurance Committee. The following issues will be considered in the selection of future documents. Topics that:

- Assist in the Dental Practice Review program
- Relate to new modalities in dentistry
- Are taken from statistical data gathered from the College data base (i.e., Professional Liability Program, Complaints)
- Relate to prevalence of a particular problem and variability in practice
- Relate directly to patient outcomes
- Resolve controversies in treatment
- Relate to risk reduction, i.e. over-prescribing of antibiotics.

After reviewing these issues, a decision was made that the first topic to be addressed would be the "Management of Adult Periodontitis." The development of the evidence-based report on the selected topic began in mid-1997. Dr. Douglas Brothwell was selected by the Quality Assurance Committee to carry out the literature search and to write the report. An expert panel, composed of representatives of the Ontario Society of Periodontists and both dental schools was formed to assist Dr. Brothwell with this project.

Dental Practice Review Sampling Methodology

The Quality Assurance Regulation proposal that was approved by Council in November 1996 and subsequently submitted to government contained the following points in the Dental Practice Review section about the "selection" of members for assessment:

Section 5

- (1) The practice review component of the Quality Assurance Program shall include the selection of members for assessment.*
- (2) The process for selection of members for assessment shall be established by the (Quality Assurance) Committee, approved by Council and published to the members.*

The Quality Assurance Committee members presented a sampling protocol to Council, one that would identify a "random" sample of Ontario dentists while, at the same time, guarantee as much as possible that each Electoral District would be fairly and equally represented within the group of selected dentists. By using such a protocol, all parts of the province would be "touched" by the program and the more heavily populated areas would not be unduly sampled.

A consultant, Bart J. Harvey, MD, PhD, FRCPC, FACPM with doctoral training in epidemiology was retained to assist the Committee. Dr. Harvey is an Assistant Professor in the Department of Public Health Sciences, Faculty of Medicine, University of Toronto. It was his recommendation that "stratified random sampling" would be the appropriate method to select the required sample of Ontario dentists. In 1998, it is expected that about 100 dentists out of a total of approximately 5700 general practitioners will be reviewed. The review of dental specialists will be deferred for at least a year to allow for further consultation with the various specialty groups.

This sampling strategy was approved by Council in November, 1997.

Communicating with the Profession

In order to reach out to members, a Quality Assurance Roadshow was developed for presentation at local dental societies. To this end, a memorandum was sent to all ODA local component society presidents informing them that evening presentations about the QAP could be arranged for their society by contacting the College. Seven dental societies expressed interest in having a presentation at one of their meetings in the fall of 1997 and it was anticipated that many more societies would be visited in 1998. Where possible, a member of the Quality Assurance Committee attended these meetings along with Dr. Don McFarlane of the RCDSO staff.

A Quality Assurance Roadshow was developed for presentation at local dental societies

The Patient Relations Committee was instrumental in the production of a dental profession-specific videotape entitled *Zero Tolerance*

PATIENT RELATIONS PROGRAM

The Patient Relations Committee is made up of two public-appointed members of Council (one acting as Chair), one dentist member of Council and two dentists who are not members of Council. The Committee is responsible for advising Council on programs, initiatives and policies regarding matters of professional contact between dentists and their patients, and promoting the public's knowledge and understanding of the practice of dentistry and the College itself.

The primary focus of the Patient Relations Program is to improve communication between members of the College and the public on issues of professional conduct, specifically those related to the prevention of sexual abuse. The secondary responsibility of the Program is to establish and administer a funding mechanism for therapy and counselling for victims of sexual abuse by Ontario dentists.

Educating the Public and the Profession

The *Regulated Health Professions Act, 1991*, (RHPA) stipulates that the Patient Relations Program must include, as a minimum:

- educational requirements for members;
- guidelines for the conduct of members with their patients;
- training for the College staff; and
- the provision of information to the public.

The Patient Relations Committee was instrumental in the production of a dental profession-specific videotape entitled *Zero Tolerance* on the subject of sexual abuse and harassment. It is imperative that vehicles such as this be used to educate

the public and the profession about prevention programs available at the College. This video is used in the College's Ethics and Jurisprudence course for undergraduates, graduate students and other applicants for registration as a dentist in Ontario.

Throughout the year, the Patient Relations Committee continued to investigate other avenues, such as educational speakers, for informing the public about the College and the various rights they have under the *RHPA*. The Committee will be working closely in the future with the newly-formed Communications Committee on the development of a College website.

LEGAL AND LEGISLATIVE MATTERS

Council approved revision to the Regulation proposal, "Orders, Delegation and Assigning of Intra-Oral Procedures." Although the College believed that the principles underlying the 1994 proposal were well-founded and in the public interest, it recognized that some flexibility was necessary in order to come to some resolution in the matter. The revised resolution takes into consideration principles that were agreed upon by the Presidents and Registrars of the RCDSO and the College of Dental Hygienists of Ontario as a result of several discussions which have taken place during 1997.

The new Regulation proposal on "Orders" was submitted to the Provincial Government and the College membership for review.

Administration of the College

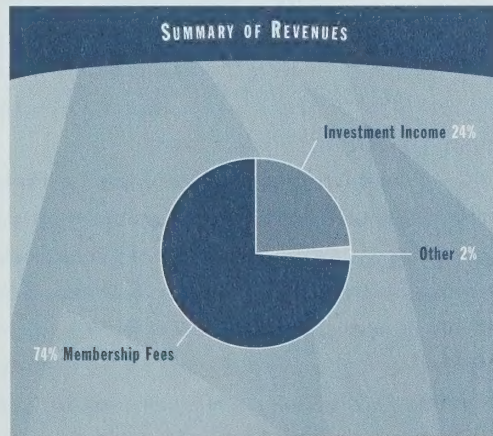
The day-by-day administration of the College is directed by the Registrar with the assistance of the other members of the Senior Staff. Administrative matters include personnel issues, management information systems, property repair, maintenance and tenant issues. College staff also provide support for the activities of Council and the seven Statutory Committees and Five Standing Committees.

The Finance and Property Committee, in consultation with the Senior Staff, presented its preliminary budget for 1998 to Council in June 1997 for consideration and initial approval. A revised budget was presented to Council in November for final approval. This two-stage process allowed for reconsideration of the initial budget later in the year to take into account new programs and services

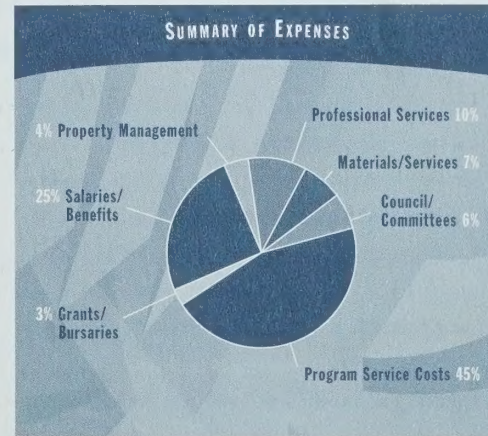
approved by Council during the remainder of the year. It also allowed time for the necessary Regulation changes to be approved by government if the annual membership fee needs to be adjusted. In 1997, Council approved a further reduction in membership fees of \$150.00.

College Revenues and Expenses

The following charts illustrate the major components of the RCDSO budget. The information presented is based on the 1998 budget approved by Council in November 1997.



Revenue \$11,433,138



Expenses \$8,029,120

In 1997, Council approved a further reduction in membership fees of \$150.00

Registrar's Report

The day-to-day administration of the College is directed by the Registrar with the assistance of the other members of the Senior Staff

I look back on my first full year as Registrar with great satisfaction. The College continues improving services and programs to better serve its members, and the changes we've made at the administrative level during 1997 will allow us to fulfill the vision set by the College and its Council.

Operational Redesign

The past year provided a unique "window of opportunity" for change at the College. This window allowed us to look at the overall staffing and administrative structure with a move to a more modern, pro-active and efficient design.

At the June 1997 meeting of Council, the new management framework for the administration of the College was announced. The management team now includes the Registrar, three Directors and four Managers. The organizational redesign would allow the College to:

- strengthen the integrity of the complaints process
- increase its potential to act as a useful resource to its members
- improve its ability to provide accurate and consistent information to its members in a timely fashion
- enhance proactive education and policy development and work with other organizations to the benefit of the profession
- expand its communications capability to its members but also to the public, the media and the government



Dr. Minna H. Stein, DDS, MEd
Registrar

We welcomed Mr. Irwin Fefergrad to the newly-created position of Director of Hearings and Investigations in June. Before joining the Senior Management Team of the College, Mr. Fefergrad practised law in Toronto with an emphasis on commercial litigation and administrative tribunal cases. Key members of this team include Dr. Patricia Abbey serving as Manager, Public Complaints and Dr. Fred Eckhaus as Manager, Investigations.

Dr. Donald McFarlane assumed responsibility for the arm of the College which "guides the profession." He is tasked with registration, professional advisory activities and the Quality Assurance Program. Ms. Marion Keddle continues as Director, Finance and Administration with responsibilities

focused on the College's finances, administrative services, human resources and property management.

Ms. Cecilia Turner, formerly the claims manager for the Professional Liability Program has a new title which better describes her role. As Manager, Professional Liability Program, Ms. Turner is also responsible for coordinating risk management and claims prevention activities. The position, Manager, Communications and External Relations, was not filled until 1998.

Staffing

Aside from the management restructuring, there have been other additions to, and movements within the staff at College headquarters. Dr. Michael Gardner has joined

the College on a part-time basis to assist Dr. Fred Eckhaus with investigations. We welcome Mr. Alan Rowe to the role of Systems Support Technician, and Ms. Valerie Mann has relocated to the finance area from the complaints unit to assist Ms. Keddie.

A New Visual Identity

In 1997, we introduced a new logo and overall look intended to reflect a new emphasis on the communications efforts of the College. All College communications are now linked by this new visual identity. Light was chosen as a graphic element since light reveals truth and understanding which is the prime purpose of the regulatory aspect of our mandate. Light is a beacon and guide, reflecting the expanding emphasis on this important aspect of the College's role. We are working to present a less authoritarian image, to extend the effectiveness of our regulatory role by communicating with the profession and the public, and to encourage a spirit of cooperation with members of the profession through improved communications.

Reaching Out

In an effort to reach out to our membership, staff of the College travelled throughout the province to explain and demystify the programs and services we have to offer. Dr. Maureen Shade, a claims advisor for the Professional Liability Program, made presentations on the subject of risk management to a number of dental groups and societies, and Dr. Don McFarlane and members of the Quality Assurance Committee promoted the Quality Assurance Program.

The Professional Practice area issued 33 anaesthesia and sedation facility permits following inspections by Ms. Diane Lewis, our staff registered nurse.

Although the number of claims in the Professional Liability area is down marginally from last year, the claims examiners continue to be busy. More time is needed to process the claims because of changes to our court system and the staff's increased involvement in such steps as mediation and arbitration.

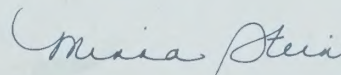
Financial Stability

I am very pleased to report that the College was able to sustain and improve its financial stability during 1997. If you turn your attention to the audited financial statements included in this Annual Report, you will see that the College is in a healthy financial situation in both our General and Professional Liability Funds.

Revenues have exceeded expenses and we have kept our expenditures below budget for the year. Because of this, Council was in a position to approve a recommendation to reduce the annual fee for a dentist to practise for a third successive year. This is a clear indication of our consistently sound financial management over the past several years.

In Appreciation

I cannot close without extending my sincere thanks to the College staff. The positive changes of the past year would have been impossible to implement without such hard-working and dedicated colleagues. I thank them for their loyalty and patience.



Dr. Minna H. Stein, DDS, MEd
Registrar

We are working to
encourage a spirit
of cooperation
with members of
the profession
through improved
communications

Financial Statements

Financial Statements of Royal College of Dental Surgeons of Ontario December 31, 1997

AUDITORS' REPORT

To the Members of the Council of the Royal College of Dental Surgeons of Ontario

We have audited the balance sheet of the Royal College of Dental Surgeons of Ontario as at December 31, 1997 and the statements of revenue and expenses and balance of funds for the year then ended for each of the General Fund, Professional Liability Program Fund and Harry R. Abbott Memorial Library Fund. These financial statements are the responsibility of the College's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with generally accepted auditing standards. Those standards require that we plan and perform an audit to obtain reasonable assurance whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation.

In our opinion, these financial statements present fairly, in all material respects, the financial position of the College as at December 31, 1997 and the results of its operations and the changes in its financial position for the year then ended in accordance with generally accepted accounting principles.

DeFollette & Touche

Chartered Accountants
Toronto, Ontario
February 17, 1998

GENERAL FUND

Statement of Revenue and Expenses

Year ended December 31, 1997

	1997	1996
Revenues		
Registration and Annual Fees	\$ 5,130,445	\$ 5,295,480
Interest	272,813	283,221
Sundry	219,440	203,981
Rental Income - Tenants	48,153	48,153
	<u>\$ 5,670,851</u>	<u>\$ 5,830,835</u>
Expenses		
Salary and Benefit Charges	1,985,725	1,829,380
Legal Fees	401,140	487,808
Honoraria	335,415	367,933
Consulting and Professional Fees	331,062	302,478
Equipment - Purchase		
Rental and Maintenance	269,315	285,454
Printing, Stationery and Supplies	227,265	300,126
Council and Committee	171,651	164,167
Grants	153,270	194,034
Administrative	120,165	106,175
Property Maintenance and		
Operating Costs (Note 7)	74,139	47,355
Staff Travel	71,937	81,261
Interest Allocation on PLP Loan (Note 5)	71,050	90,400
Postage and Courier	60,593	75,438
Membership Education	59,656	121,495
Telephone	35,427	31,365
Witness and Court Reporter Fees	20,446	45,302
Renovations	17,898	80,587
Council Insurance	1,952	2,930
	<u>4,408,106</u>	<u>4,613,688</u>
Excess of Revenue Over Expenses	<u>\$ 1,262,745</u>	<u>\$ 1,217,147</u>

The College was able to sustain and improve its financial stability during 1997

PROFESSIONAL LIABILITY PROGRAM FUND

Statement of Revenue and Expenses

Year ended December 31, 1997

	1997	1996
Revenue		
Program Allocation	\$ 3,338,080	\$ 3,261,050
Interest	2,424,207	2,642,794
	<u>\$ 5,762,287</u>	<u>\$ 5,903,844</u>
Expenses		
Maximum Loss Limit Provision	2,350,000	2,350,000
Insurance Premium	1,177,367	1,160,889
Administration Charge from General Fund (Note 6)	884,048	773,726
Sundry Expenses	29,733	48,975
Adjusters' Fees	21,635	19,773
Expert Fees	13,123	23,267
Prior Year's Unutilized Loss Limit	(854,992)	(600,795)
	<u>3,620,914</u>	<u>3,775,835</u>
Excess of Revenue Over Expenses	<u>\$ 2,141,373</u>	<u>\$ 2,128,009</u>

RCDSO BALANCE OF FUNDS

December 31, 1997

	General Fund	Professional Liability Program Fund	Harry R. Abbott Memorial Library Fund	Total 1997	Total 1996
Balance of Fund, beginning of year	\$ 2,841,212	\$ 1,892,786	\$ 1,580	\$ 4,735,578	\$ 2,690,302
Excess of Revenue Over Expenses	1,262,745	2,141,373	326	3,404,444	3,345,276
Appropriation to Professional Liability Reserve	-	(1,180,000)	-	(1,180,000)	(800,000)
Appropriation to Building Reserve	(400,000)	-	-	(400,000)	(500,000)
Balance of Fund, End of Year	<u>\$ 3,703,957</u>	<u>\$ 2,854,159</u>	<u>\$ 1,906</u>	<u>\$ 6,560,022</u>	<u>\$ 4,735,578</u>

BALANCE OF RESERVES

December 31, 1997

	Building Reserve	Professional Liability Reserve
Balance, Beginning of Year	\$ 1,000,000	\$ 18,853,576
Appropriations During the Year	400,000	1,180,000
Balance, End of Year	<u>\$ 1,400,000</u>	<u>\$ 20,033,576</u>

BALANCE SHEET

December 31, 1997

	1997	1996
Assets		
Cash	\$ 11,779,952	\$ 8,296,383
Investments (Note 3)	30,638,103	30,310,016
Accounts Receivable	322,911	425,121
Prepaid Expenses	35,438	30,524
Property (Note 4)	4,690,740	4,690,740
	<u>\$ 47,467,144</u>	<u>\$ 43,752,784</u>
Liabilities		
Accounts Payable	\$ 550,529	\$ 314,822
Deferred Revenue	7,982,400	8,086,250
Reserve for Claims	10,225,616	10,234,994
Pension Plan Obligation (Note 8)	715,001	527,564
	<u>\$ 19,473,546</u>	<u>\$ 19,163,630</u>
Balance of Funds and Reserves		
Balance of Funds	\$ 6,560,022	\$ 4,735,578
Building Reserve	1,400,000	1,000,000
Professional Liability Reserve	20,033,576	18,853,576
	<u>\$ 47,467,144</u>	<u>\$ 43,752,784</u>

HARRY R. ABBOTT MEMORIAL LIBRARY FUND

Statement of Revenue and Expenses

Year ended December 31, 1997

	1997	1996
Revenue		
Interest	\$ 1,906	\$ 1,580
Expenses		
Grant to University of Toronto Library Fund	1,580	1,460
Excess of Revenue Over Expense	<u>\$ 326</u>	<u>\$ 120</u>

Notes to Financial Statements

1. GENERAL

Founded in 1868, the Royal College of Dental Surgeons of Ontario (the "College") was continued under the *Dentistry Act, 1991* and *Regulated Health Professions Act of Ontario, 1991* as a not-for-profit corporation without share capital. As a not-for-profit corporation, the College is exempt from income taxes under the Income Tax Act of Canada. The purpose of the College is to regulate the practice of dentistry and govern its members in the Province of Ontario. In addition to the General Fund, special Funds have been established by the College for the following purposes:

Professional Liability Program Fund and Reserve for Claims

This Fund was established by the College to provide a first level of defence and management of professional liability claims against dentists. In 1997 dentists were covered for a maximum liability of \$2,000,000 for each validated claim. The College is liable for the first \$50,000 (1996 - \$50,000) of a validated claim subject to a 1997 maximum aggregate loss limit of \$2,350,000 (1996 - \$2,350,000), which amount is expensed on an annual basis, net of unutilized loss limits of previous years (1997 - \$854,992, 1996 - \$600,795). For a validated claim in excess of \$50,000 and for total claims in a year in excess of \$2,350,000 the College has obtained insurance having an upper limit of \$2,000,000 for each claim. The dentists are liable to the College for a deductible portion on each validated claim of \$1,000 on any one occurrence including defence costs, increasing at a rate of \$1,000 for each additional claim in a thirty-six month period. Deductibles are recorded when received. The College is additionally liable for all loss adjustment expenses, which are expensed as incurred, related to claims arising since January 1, 1977. Final settlement of claims is subject to satisfactory resolution between the insurance company and the College. The reserve for claims represents the accumulated difference of the annual maximum loss limit and paid claims.

Harry R. Abbott Memorial Library Fund

The Library Fund was established in 1924 as a family memorial to the late Dr. Abbott, who was president of the College from 1903 to 1907. The funds are maintained with Canada Trust and the interest on the funds is transferred through the College to the Faculty of Dentistry of the University of Toronto. The funds at Canada Trust are not reflected in the Library Fund.

2. SIGNIFICANT ACCOUNTING POLICIES

These financial statements have been prepared in accordance with generally accepted accounting principles for not-for-profit organizations. Significant accounting policies are summarized as follows:

Fund accounting

These financial statements have been prepared in accordance with the fund basis of accounting for not-for-profit organizations. Statements of changes in financial position for each fund have not been prepared since they would not provide additional meaningful information.

Registration and annual fees

Members of the College pay a registration fee upon joining the College. Registration fees are included in income upon receipt.

Members are billed for annual fees each December. These fees relate to the following fiscal year and accordingly amounts received or receivable are shown as deferred revenue at year end.

Investments

Investments in fixed income securities are stated at amortized cost plus accrued interest. Gains and losses are recorded only upon realization except where there is a decline in value which is considered to be other than temporary, at which time a provision for estimated losses is made.

Property

Property comprising land, building and improvements is carried at cost, net of allowance for diminution in value. No allowance is taken for amortization of building and improvements costs.

All capital assets, other than property, are expensed as acquired.

Building reserve

Contributions to the building improvement reserve from the General Fund are for required modernizations, improvements or restorations to the structure of the property.

Professional liability reserve

Contributions to the professional liability reserve from the Professional Liability Fund are for reserves in the event the College is required to self-insure or cannot obtain third party professional liability insurance.

Estimates

The preparation of the College's financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect certain reported amounts of assets and liabilities, disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Accordingly, actual results could differ from those estimates. The amount which the College could ultimately realize from the reserve for claims could differ in the near term from amounts recorded, although the College believes that the reserves made are adequate.

Pension costs

Pension costs related to current service are charged to income for the period during which the services are rendered. These costs reflect management's best estimates of the pension plan's expected investment yields, salary, mortality of members, terminations and the ages at which members will retire. Adjustments arising from plan amendments, experience gains and losses and changes in assumptions are being amortized over the expected average remaining service lives of employees. Gains and losses on settlement or partial settlement of the plan are included in income immediately.

The cumulative difference between the funding contributions and the amounts recorded as a pension expense is recorded on the balance sheet as prepaid pension plan costs or pension plan obligation.

3. INVESTMENTS

	1997	
	Carrying Value	Market
Bonds and Coupons		
Government of Canada	\$ 17,299,240	\$ 18,202,876
Provinces of Canada	12,793,272	13,024,013
Corporate	545,591	546,250
	<u>\$ 30,638,103</u>	<u>\$ 31,773,139</u>
	1996	
	Carrying Value	Market
Bonds and Coupons		
Government of Canada	\$ 15,952,055	\$ 16,037,697
Provinces of Canada	13,812,187	13,794,564
Corporate	545,774	573,750
	<u>\$ 30,310,016</u>	<u>\$ 30,406,011</u>

The value of investments includes accrued interest of \$9,175,173 (1996 - \$7,568,927). At December 31, 1997 the College holds these investments at Royal Trust.

The Professional Liability Program investments of \$30,593,265 (1996 - \$28,084,571) are restricted for the purposes described in Note 1.

4. PROPERTY

	1997	1996
6 Crescent Road	<u>\$4,690,740</u>	<u>\$4,690,740</u>

This property is 90% (1996 - 90%) owned by the College. In 1997 the College expensed the purchase of other capital assets of \$233,950 (1996 - \$327,420).

5. INTERFUND BALANCES

The General Fund has a demand loan of \$2,000,000 (1996 - \$2,000,000) payable to the Professional Liability Program Fund. The demand loan bears interest at the prevailing Canada treasury bill rate, adjusted semi-annually.

All other interfund balances arise in the normal course of the College's business.

6. ADMINISTRATION CHARGE

The College pays for all general operating expenses through the General Fund. A portion of these expenses is charged to the Professional Liability Program ("PLP") Fund through an administration charge.

7. PROPERTY MAINTENANCE AND OPERATING COSTS

Property maintenance and operating costs for 6 Crescent Road are net of operating cost recoveries from each of tenants and co-owners in the amounts of \$67,567 (1996 - \$67,567) and \$41,486 (1996 - \$41,486), respectively.

8. PENSION PLAN

The College maintains a combined defined benefit and money purchase pension plan which covers substantially all of its employees. Pension fund assets at market value were \$1,839,680 at December 31, 1997 (1996 - \$1,692,390). The present value of accrued pension benefits attributable to services rendered to December 31, 1997 was \$1,863,431 (1996 - \$1,652,360). Pension expense for the year ended December 31, 1997 was \$186,289 (1996 - \$208,512).

In determining the actuarial present value of accrued pension benefits and pension costs, the College used a discount rate and expected rate of return on plan assets of 7% and a salary escalation rate of 5%. The estimated average remaining service life of the employee groups covered by the plan is 17 years.

9. CREDIT FACILITY

The College has a credit facility available up to \$1,500,000 secured by a collateral security pursuant to a General Security Agreement. No amount has been drawn from this facility as at year-end.

10. COMMITMENTS

The College has operating leases on office equipment and vehicles requiring minimum annual lease payments as follows:

Year ending December 31:	
1998	\$ 71,364
1999	62,868
2000	45,282
2001	10,195
2002	-
	<u>\$ 189,709</u>

11. FINANCIAL INSTRUMENTS

Fair value

The major categories of the College's financial instruments are comprised of cash, investments, accounts receivable, accounts payable, deferred revenue, reserve for claims and the pension plan obligation. For financial instruments which are short-term in nature such as cash, accounts receivable, accounts payable and deferred revenue, their carrying value approximates their fair values.

The fair value of investments is the aggregate of their market values which are based on quoted market prices and information available at that time as disclosed in Note 3 to these financial statements.

The fair value of the pension plan obligation is the actuarial present value of accrued pension benefits and pension costs calculated as described in Note 8 to these financial statements.

The fair value of the reserve for claims cannot be determined with sufficient reliability as the timing of the payment of claims is uncertain. Further information on the principal characteristics of the reserve for claims is disclosed in Note 1.

Concentration of credit risk

The College's exposure to concentration of credit risk is limited as the accounts receivable are substantially from members.

12. LEGAL ACTIONS

In the ordinary course of business, the College is a defendant in various legal actions the outcomes of which are not determinable. Settlements, if any, are accounted for in the period incurred, to the extent that the amounts are not recoverable from insurers.

13. COMPARATIVE FIGURES

Certain of the comparative figures have been restated to conform with current year's presentation.

STATISTICS (As at December 31, 1997)

Additions to the Register

University of Toronto Graduates	55
University of Western Ontario Graduates	43
Other Canadian Graduates (N.D.E.B.)	46
U.S.A./Foreign Graduates (N.D.E.B.)	147
Academic Certificates	1
Instructional Certificates	0

Removals and Reinstatements

Deceased *	10
Resigned	170
Reinstated	37

* deceased while currently registered

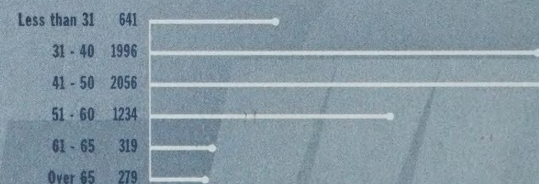
Membership Certificates by Category

General Certificate	5,744
Specialty Certificate	42
Combined General/Specialty Certificate	860
Academic Certificate	10
Education Certificate	14
Graduate Student Certificate	18
Instructional Certificate	0

Total Number of Membership Certificates 6,688**

** includes Out-of-Province members

AGE RANGE OF DENTISTS IN ONTARIO



PRESIDENTS AND REGISTRARS

Presidents

* B.W. Day	Apr. 1868 – Jun. 1870
* H.T. Wood	Jun. 1870 – Jul. 1874
* C.S. Chittenden	Jul. 1874 – May 1889
* H.T. Wood	May 1889 – Mar. 1893
* R.J. Husband	Mar. 1893 – Apr. 1899
* G.E. Hanna	Apr. 1899 – Apr. 1901
* A.M. Clark	Apr. 1901 – Apr. 1903
* H.R. Abbott	Apr. 1903 – Apr. 1907
* R.B. Burt	Apr. 1907 – Apr. 1909
* G.C. Bonnycastle	Apr. 1909 – May 1911
* W.J. Bruce	May 1911 – May 1913
* D. Clark	May 1913 – May 1915
* W.C. Davy	May 1915 – May 1917
* W.C. Trotter	May 1917 – May 1918
* W.M. McGuire	May 1918 – May 1921
* M.A. Morrison	May 1921 – May 1923
* A.D. Mason	May 1923 – May 1925
* E.E. Bruce	May 1925 – May 1927
* R.C. McLean	May 1927 – May 1929
* S.S. Davidson	May 1929 – June 1931
* S.M. Kennedy	June 1931 – May 1933
* H. Irvine	May 1933 – May 1935
* G.H. Holmes	May 1935 – May 1937
* E.C. Veitch	May 1937 – May 1939
* L.D. Hogan	May 1939 – May 1941
* F.A. Blatchford	May 1941 – May 1943
* G.H. Campbell	May 1943 – May 1945
* S.W. Bradley	May 1945 – May 1947
* H.W. Reid	May 1947 – May 1949
* S.J. Phillips	May 1949 – May 1951
* R.O. Winn	May 1951 – May 1953
* C.M. Purcell	May 1953 – May 1955
* R.J. Godfrey	May 1955 – May 1957
* M.C. Bebee	May 1957 – May 1959
* M.V. Keenan	May 1959 – May 1961
* A.H. Leckie	May 1961 – Apr. 1963
* W.G. Bruce	Apr. 1963 – Apr. 1965
* J.F. Coupland	Apr. 1965 – Feb. 1967
* J.D. Purves	Feb. 1967 – Jan. 1969
* H.M. Jolley	Jan. 1969 – Jan. 1971
* N.L. Diefenbacher	Jan. 1971 – Jan. 1973
* P.P. Zakarow	Jan. 1973 – Jan. 1975
* R.F. McCutcheon	Jan. 1975 – Jan. 1977
* E.G. Sonley	Jan. 1977 – Jan. 1979
* A.J. Calzonetti	Jan. 1979 – Jan. 1981
* C.A. Doughty	Jan. 1981 – Jan. 1983
* R.L. Filion	Jan. 1983 – Jan. 1985
* G.E. Pitkin	Jan. 1985 – Jan. 1987
* G. Nikiforuk	Jan. 1987 – Jan. 1989
* W.J. Dunn	Jan. 1989 – Jan. 1991
* R.M. Beyers	Jan. 1991 – Mar. 1994
* G.P. Citrome	Mar. 1994 – Feb. 1997
* M. Yasny	Feb. 1997 –

DISTRIBUTION OF DENTISTS IN ONTARIO BY AGE RANGE, COUNTY AND ELECTORAL DISTRICT

COUNTY	Less than 31	31-40	41-50	51-60	61-65	65+	Total
District #1							
Dundas	1	2	1	1	0	1	6
Frontenac	8	21	25	17	1	2	74
Glenarry	0	0	1	1	0	0	2
Lanark	0	4	7	5	0	2	18
Leeds	3	9	10	9	1	0	32
Lennox-Addington	1	4	0	2	1	1	9
Ottawa-Carleton	53	143	152	100	18	20	486
Prescott	2	2	3	1	2	0	10
Renfrew	6	10	15	2	1	2	36
Russell	2	7	5	0	0	0	14
Stormont	0	5	10	6	0	2	23
District Total	76	207	229	144	24	30	710
District #2							
Durham	22	98	75	32	6	4	237
Haliburton	1	0	1	2	0	0	4
Hastings	4	13	15	15	3	2	52
Northumberland	2	8	8	6	0	1	25
Peterborough	4	15	13	17	3	2	54
Prince Edward	0	2	4	1	0	0	7
Victoria	1	4	2	8	1	0	16
York	38	155	117	42	7	2	361
District Total	72	295	235	123	20	11	756
District #3							
Algoma	5	16	20	10	4	2	57
Cochrane	3	12	11	4	1	2	33
Kenora	3	9	7	6	0	1	26
Manitoulin	1	3	2	1	0	0	7
Nipissing	1	13	12	10	3	2	41
Rainy River	2	6	3	0	0	0	11
Sudbury	8	20	26	15	7	6	82
Thunder Bay	6	22	28	23	2	1	82
Timiskiming	6	3	5	4	0	1	19
District Total	35	104	114	73	17	15	358

Registrars

* J. O'Donnell	Apr. 1868 – July 1870
* J.B. Willmott	July 1870 – June 1915
* W.E. Willmott	July 1915 – May 1940
* D.W. Gullett	May 1940 – July 1956
W.J. Dunn	July 1956 – Feb. 1965
K.F. Pownall	Feb. 1965 – July 1990
R.L. Ellis	July 1990 – Nov. 1996
M.H. Stein	Nov. 1996 –

* Deceased

COUNTY	Less than 31	31-40	41-50	51-60	61-65	65+	Total
District #4							
Halton	25	67	60	47	12	3	214
Peel	53	197	148	78	12	4	492
District Total	78	264	208	125	24	7	706
District #5							
Bruce	1	3	10	3	0	0	17
Dufferin	1	5	7	5	0	0	18
Grey	4	8	8	12	3	2	37
Huron	0	8	6	5	0	0	19
Muskoka	0	6	10	3	1	1	21
Parry Sound	1	2	7	2	0	1	13
Simcoe	13	38	57	27	5	8	148
District Total	20	70	105	57	9	12	273
District #6							
Elgin	4	5	10	4	1	2	26
Essex	28	69	63	29	7	9	205
Kent	6	8	16	12	0	2	44
Lambton	3	7	30	6	1	2	49
Middlesex	28	71	103	47	19	17	285
District Total	69	160	222	98	28	32	609
District #7							
Brant	8	13	15	14	2	1	53
Haldimand-Norfolk	4	8	8	12	3	2	37
Oxford	3	8	12	9	2	2	36
Perth	3	4	7	10	1	1	26
Waterloo	19	73	54	45	9	3	203
Wellington	6	25	21	23	4	1	80
District Total	43	131	117	113	21	10	435
District #8							
Hamilton-Wentworth	22	69	85	55	12	16	259
Niagara	15	52	75	44	13	14	213
District Total	37	121	160	99	25	30	472
District #9							
Metro Toronto (North)	30	132	146	110	40	40	498
District Total	30	132	146	110	40	40	498
District #10							
Metro Toronto (West)	46	147	159	96	41	22	511
District Total	46	147	159	96	41	22	511
District #11							
Metro Toronto (Central)	55	140	165	79	30	36	505
District Total	55	140	165	79	30	36	505
District #12							
Metro Toronto (East)	80	225	196	117	40	24	682
District Total	80	225	196	117	40	24	682
PROVINCIAL TOTALS	641	1996	2056	1234	319	279	6525

RCDSO Data/Dec. 1997 (Does not include members holding Education, Graduate Student or Instructional Certificates of Registration)



ROYAL COLLEGE OF DENTAL
SURGEONS OF ONTARIO

6 CRESCENT ROAD,
5TH FLOOR,
TORONTO, ONTARIO,
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